



SECTOR 4

CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

Date: December 29, 2000

Signature: Carol Felenstein
Carol Felenstein

Docket No. 3499-86

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Andrew F. Silverman, Matthew Lavicka, David W. Ngai
Application Serial No.: 09/680,771
Filed: October 6, 2000
For: **ORDER CENTRIC TRACKING SYSTEM AND PROTOCOL
FOR COMMUNICATIONS WITH HANDHELD TRADING
UNITS**
Primary Examiner: Not Assigned
Group Art Unit: 2161

Commissioner for Patents
Washington, DC 20231
Attention: Box Missing Parts

TRANSMITTAL LETTER

Dear Sir:

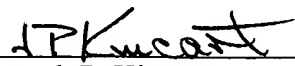
Submitted herewith is

1. Executed Declaration and Power of Attorney
2. Notice to File Missing Parts of Application

The Commissioner is hereby authorized to charge any fee which may be required or to credit any overpayment to Account No. 50-0521. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: December 29, 2000

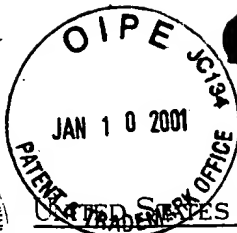


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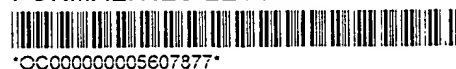
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/680,771	10/06/2000	Andrew F. Silverman	3499-86

Clifford Chance Rogers & Wells LLP
200 Park Avenue
New York, NY 10166

FORMALITIES LETTER



OC000000005607877

Date Mailed: 12/08/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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